

TRANSMITTAL



09/721,158

PTO/SB/21 (08-00)
Approved for use through 10/31/02. OMB 0651-0031
demark Office; U.S. DEPARTMENT OF COMMERCE

| Under the Paperwork Reduction Act of 1995, no persons are required to respond to a cone | ction of intormation unless it displays a value | OND CONTO HUMBE |
|--|---|--------------------|
| Under the Paperwork Reduction Act of 1995, no persons are required to respond to a colle | ction of information unlace it displays a valid | MAR control number |
| | tent and Trademark Office, 0.5. DEL ARTIM | |
| | | |

Application Number

| | <u></u> | Filing Date | November 21, 2000 | | | | |
|--|--|---|---|--|--|--|--|
| FORM | | First Named Inventor | Russell A. HOUSER | | | | |
| | | Group Art Unit | 3731 | | | | |
| (to be used for all correspondence after initial filing) | | Examiner Name | Z. Bui | | | | |
| Total Number Of Pages In This Submission | 8 | Attorney Docket No. | 441742000411 | | | | |
| ENCLOSURES (check all that apply) | | | | | | | |
| Fee Transmittal Form - 1 page + duplicate copy for fee processing | | signment Papers ran Application) | After Allowance Communication to Group | | | | |
| Fee Attached | Dra | awing(s) | Appeal Communication to Board of Appeals and Interferences | | | | |
| Amendment / Reply (Preliminary) - 5 pages | Lice | ensing-related Papers | Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) | | | | |
| After Final | Pet | iition | Proprietary Information | | | | |
| Affidavits/declarations | | ition to Convert to a prisional Application | Status Letter | | | | |
| Extension of Time Request | | wer of Attorney, Revocation ange of Correspondence Add | Other Enclosure(s) (please identify below): | | | | |
| | 1. Return receipt postcard Terminal Disclaimer | | | | | | |
| Express Abandonment Request | Express Abandonment Request Request for Refund | | | | | | |
| Information Disclosure Statement CD, Number of CD(s) | | | | | | | |
| Certified Copy of Priority Document(s | Certified Copy of Priority Document(s) | | | | | | |
| Response to Missing Parts/ Incomplete Application | RECEIVED | | | | | | |
| Response to Missing Parts | | SEP 1 7 2002 | | | | | |
| under 37 CFR 1.52 or 1.53 | | TECHNOLOGY CENTER SOFTE | | | | | |
| SIGNATURE OF APPLICANT, ATTORNEY OR AGENT | | | | | | | |
| Firm Morrison & Foerster LLP, 755 Page Mill Road, Palo Alto, California 94304-1018 | | | | | | | |
| or Johney U. Han (R | egistration No. 4 | 5,565) | | | | | |
| 0 | 1 21- | | | | | | |
| Date September 11, 2002 | | | | | | | |
| | | | | | | | |
| CERTIFICATE OF MAILING BY "EXPRESS MAIL" | | | | | | | |
| Express Mail Label No.: EV 064640015 US Date of Deposit: September 11, 2002 | | | | | | | |
| I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to: Assistant Continuous for Patents, Washington, D.C. 20231. | | | | | | | |

Tamara Alcaraz Burden Hours Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

PTO/SB/17 (09-00) ved for use through 10/31/2002. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL **FOR FY 2002**

Complete if Known Application Number 09/721,158 November 21, 2000 Filing Date Russell A. HOUSER First Named Inventor Examiner Name Z. Bui Group Art Unit 3731

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)99.00 441742000411 Attorney Docket No.

| METHOD OF PAYMENT | | | FE | E CALCU | JLATION (continued) | |
|--|-----------------------------------|-------------|-------------|-------------|--|-------------|
| 1. X The Commissioner is hereby authorized to charge indicated | 3. ADDITIONAL FEES | | | | | |
| fees and credit any overpayments to: | Large | Entity | Small | Entity | | |
| Account Number 03-1952 | Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Fee Paid |
| Deposit Account Name Morrison & Foerster LLP | 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | |
| Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 | 127 | 50 | 227 | 25 | Surcharge - late provisional filing | - |
| | 139 | 130 | 139 | 130 | fee or cover sheet | |
| | | | | | Non-English specification For filing a request for ex parte | |
| 2. Payment Enclosed: | 147 | 2,520 | 147 | 2,520 | reexamination | |
| ☐ Check ☐ Credit Card ☐ Money Order ☐ Other | 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| FEE CALCULATION | | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| 1. BASIC FILING FEE | 115 | 110 | 215 | 55 | Extension for reply within first month | |
| | 116 | 400 | 216 | 200 | Extension for reply within second month | |
| Large Entity Small Entity Fee Fee Fee Fee Fee Description Code (\$) Code (\$) Fee | 117 | 920 | 217 | 460 | Extension for reply within third month | |
| Paid | 118 | 1,440 | 218 | 720 | Extension for reply within fourth month | |
| 101 740 201 370 Utility filing fee | 128 | 1,960 | 228 | 980 | Extension for reply within fifth month | |
| 106 330 206 165 Design filing fee | 119 | 320 | 219 | 160 | Notice of Appeal | |
| 107 510 207 255 Plant filing fee | 120 | 320 | 220 | 160 | Filing a brief in support of an appeal | |
| 108 740 208 370 Reissue filing fee | 121 | 280 | 221 | 140 | Request for oral hearing | |
| 114 160 214 80 Provisional filing fee | 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | |
| | 140 | 110 | 240 | 55 | Petition to revive - unavoidable | |
| SUBTOTAL (1) (\$)0.00 | 141 | 1,280 | 241 | 640 | Petition to revive - unintentional | |
| 2. EXTRA CLAIM FEES | 142 | 1,280 | 242 | 640 | Utility issue fee (or reissue) | |
| Extra Fee from Fee Paid Claims below | 143 | 460 | 243 | 230 | Design issue fee | |
| Total Claims 31 -20** = 11 x 9 = \$99 | 144 | 620 | 244 | 310 | Plant issue fee | |
| Independent 3 - 3** = 0 x 42 = \$0 | 122 | 130 | 122 | 130 | Petitions of the Commissioner | |
| Multiple Dependent 140 = \$0 | | 50 | 123 | 50 | Petitions related to provisional applications | |
| | 126 · | 180 | 126 | 180 | Submission of Information Disclosure Stmt | |
| Large Entity Small Entity Fee Fee Fee Fee Fee Description Code (\$) Code (\$) | 581 | 40 | 581 | 40 | Recording each patent assignment per properties (times number of properties) | |
| 103 18 203 9 Claims in excess of 20 | 146 | 740 | 246 | 370 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 102 84 202 42 Independent claims in excess of 3 | 149 | 740 | 249 | 370 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 104 280 204 140 Multiple dependent claims, if not paid | 179 | 740 | 279 | 370 | Request for Continued Examination (RCE) | |
| 109 84 209 42 [⊷] Reissue independent claims over original patent | 169 | 900 | 169 | REC | Request for expedited examination or a design application | |
| 110 18 210 0 *Reissue claims in excess of 20 and | | | | | 1112 | |
| over original patent | Other fee | (specify) | | SEP 1 | 7 2002 | |
| SUBTOTAL (2) (\$)99.00 " or number previously paid, if greater; For reissues, see above. | *Reduced by Basic Filing Fee Paid | | | aid | SUBTOTAL (3) (\$)0.0 | 0 |
| SUBMITTED BY | | | | | | |
| Name (Print/Type) Johney I.J. Han Registration No. 45.565 Telephone (650) 813-5786 | | | | | | |
| (Attorney/Agent) | | | | | Date September | |

VARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.